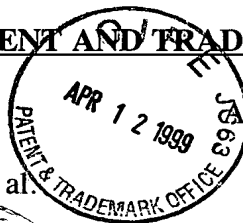


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Atty. Dkt. No. 033241/0290

In re Patent Application of Ruey J. YU et al.

Serial No. 09/227,213

Attn: Application Division

Filed: January 8, 1999



For: N-ACETYL ALDOSAMINES AND RELATED N-ACETYL COMPOUNDS,  
AND THEIR TOPICAL USE

TRANSMITTAL LETTER

**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed February 10, 1999, attached hereto are the following to complete the formal filing requirements in the above-identified application:

- xx Declaration and Power of Attorney signed by each Inventor of the application filed in the U.S. Patent and Trademark.
- xx Check in the amount of \$485.00 in payment of the \$380.00 official Government filing fee, the \$65.00 surcharge, \$40.00 for Assignment recordation fee.
- xx Small Entity Declaration signed by or on behalf of each individual, concern or organization having rights in the subject invention.
- xx Assignment to YuGenic Limited Partnership with transmittal letter (recordation fee of \$40.00 included in attached check).and check covering recordation fee.
- Claim for Convention Priority with certified copy of the priority document.
- xx A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed February 10, 1999.

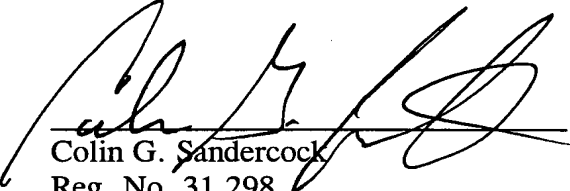
Serial No. 09/227,213

Atty. Dkt. No. 033241/0290

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0741.

Respectfully submitted,

April 10, 1999

  
Colin G. Sandercock  
Reg. No. 31,298

**Foley & Lardner**  
**3000 K Street, N.W.**  
**Suite 500**  
**Washington, D.C. 20007-5109**  
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fax: (202)672-5399



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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JOEY A. LEONARD  
1000 K STREET N.W.  
P.O. BOX 15626  
WASHINGTON DC 20007

DATE MAILED: 10/16

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 890.

☐ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/13/1999 NHSSAMI 00000103 09227213 380.00 00 65.00 00  
01 FC:201  
02 FC:205